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Temperature stable BaSrTiO₃ thin films suitable for microwave applications

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Abstract

In this paper, the properties of an optimized BaSrTiO₃ thin film, deposited on an alumina substrate using a sol-gel process, are presented. The real and imaginary parts of the permittivity and the tunability have been measured over 7 decades of frequency and in a temperature interval of 320 °C, which provides a good knowledge of the material properties for microwave applications. The dielectric properties of the films show a good stability in frequency and in temperature. From −80 °C to 20 °C, the permittivity changes less than 2% and from −75 °C to 100 °C, the tunability stays higher than 90% of its maximum value. The frequency dependence of the relative permittivity of the thin film is rather small since it only varies from 375 at 1 kHz to 350 at 5 GHz. As a main consequence, the tunability which attains almost 60% under a bias field of 400 kV/cm, is very stable in frequency up to 5 GHz. The dielectric losses $\tan \delta$, measured up to 1 GHz, stay below 0.02 for the complete frequency range. Although the material is in the ferroelectric phase, the hysteresis effect is quite negligible, which results in a well-determined permittivity value for a given electric bias field. The characterized thin film has been integrated into a reflectarray cell allowing a dynamic control of the reflected phase. The measured phase-shift value is close to the simulated one, showing the performance of the material.

Keywords: Ferroelectric, microwave, temperature, stability

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1. Introduction

Due to their tunable dielectric permittivity, ferroelectric materials are more and more integrated into microwave components, thus allowing to realize reconfigurable devices [1–3]. Moreover, the use of these materials results in a reduction of the power consumption due to low leakage currents. For microwave applications, it is necessary to obtain a high tunability (variation of the relative permittivity under an applied bias field) and low dielectric losses, which is not obvious as both phenomena are linked. To account for this, a Figure of Merit (FoM) is used in order to compare the materials and to reflect the fact that a high tunability has no benefit if the dielectric losses are high [4]:

$$FoM = \frac{n_r}{\tan \delta \times 100}, \quad (1)$$

where $\tan \delta$ corresponds to the dielectric losses and n_r to the relative tunability which is determined with the classical definition [4]:

$$n_r = \frac{\varepsilon_r(0) - \varepsilon_r(E)}{\varepsilon_r(0)} \times 100, \quad (2)$$

with $\varepsilon_r(0)$ and $\varepsilon_r(E)$ the permittivity without and under the bias electric field E , respectively. Previous works on different ferroelectric materials report typical FoM values below 30 [5–7]. For the calculation of the FoM , $\tan \delta$ is multiplied by 100 in order to convert it into percent and to have a homogeneity with the tunability (also given in percent). $\tan \delta$ is then given in absolute to correspond to the delay between the applied field and the electric displacement.

For most applications, a stability of the dielectric properties with temperature is required. As ferroelectrics are also pyroelectric, it is necessary to study the temperature dependence of the dielectric permittivity and the losses. Although BST is one of the most studied ferroelectrics for microwave applications, the temperature dependence of its dielectric properties is rarely reported [6, 8, 9].

Numerous techniques have been employed to increase the temperature stability of ferroelectric thin films. The use of a composition gradient is one of the most used approaches which has been applied in the case of pulsed laser deposition [10] or for sol-gel synthesis [11].

A composition gradient introduces a variation of the Curie temperature that is supposed to flatten the temperature response of the material. The presence of internal stress between the different layers may explain the stability according to Zhu *et al* [10], too. A progressive dopant rate can also be used to reduce the temperature dependence [9]. More complex techniques can be employed such as an association in “parallel” of materials with different Curie temperatures as described in [12]. The variation of permittivity of one layer compensates the variation of the other one, which results in an increased temperature stability between the two Curie temperatures. This more complex technique needs the use of an intermediate layer in order to ensure the absence of a composition gradient.

In the present work, the dielectric properties (permittivity, losses and tunability) of a 1% manganese-doped $\text{Ba}_{0.80}\text{Sr}_{0.20}\text{TiO}_3$ (BST 80/20) thin film are studied as a function of temperature and frequency and the *FoM* is determined. The ratio of Ba/Sr has been chosen to obtain a material in the ferroelectric phase at ambient temperature [13], which results in a higher permittivity and tunability. BST 80/20 is a soft ferroelectric (easy to polarize/depolarize) with a low coercive field which facilitates the electric command of the tunable device. 1% manganese doping in substitution of the Ti-ions has been employed in order to reduce the low frequency diffusion and high frequency losses [14]. Electron acceptor doping permits us to compensate for oxygen vacancies which are the main defects that contribute to the conduction losses in ferroelectric thin films [14–16]. Moreover, manganese doping helps reduce the domain wall motion contribution to the permittivity which is very dissipative [14].

2. Experiments

The manganese-doped $\text{Ba}_{0.80}\text{Sr}_{0.20}\text{TiO}_3$ thin films were realized by a modified sol-gel process based on the use of an alkoxide precursor [17]. The dilution was optimized in order to obtain a large grain size and to reduce the strain in the film [18]. The solution was deposited on platinum coated alumina substrates at 4000 rpm during 20 s and the samples were annealed during 15 min in a pre-heated open air furnace at 750 °C. Direct annealing (without a prior drying stage) was preferred in order to obtain a larger average grain size due

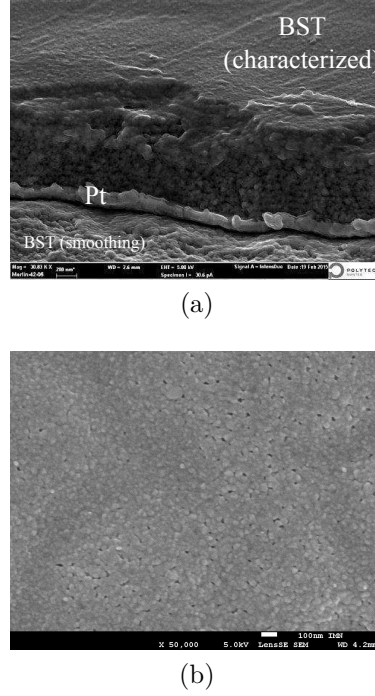


Figure 1: Cross section (a) and surface (b) SEM micrograph of the deposited thin film.

to a nucleation and crystallization at the layer interface (heterogeneous nucleation) [19]. This is supposed to result in an increased permittivity of the material [20, 21]. The layer by layer crystallization is well visible on the cross-section micrograph shown in Fig. 1a (examined with *Jeol 7600* scanning electron microscope (SEM)). Fourteen layers have been deposited, resulting in an overall films thickness of typically $0.925\ \mu\text{m}$ and a grain size of approximately $65\ \text{nm}$. As can be seen in Fig. 1a, the alumina substrates are smoothed with 7 layers of BST before the deposition of the platinum. This allows reducing the roughness of the substrate which is supposed to increase conduction losses in the bottom electrode. The surface of the thin film is presented in Fig. 1b. It shows a smooth and dense micro-structure without crack.

Due to the polycrystalline nature of the alumina substrate, the BST film does not have a preferential orientation as it can be seen from the X-ray diffraction (XRD) pattern (Fig. 2) performed with a *Siemens D5000* diffractometer using the $\text{CuK}\alpha$ radiation. The film is well crystallized and no parasitic peak is visible.

Two different top electrode geometries were used for our studies. For the low frequency

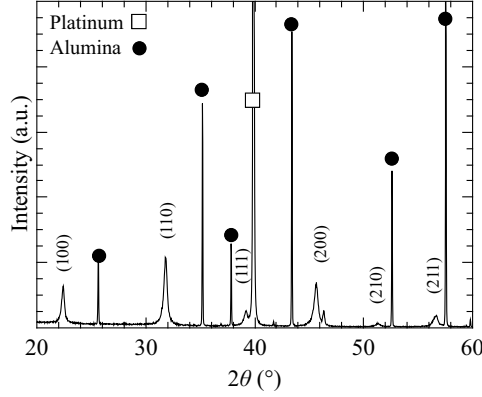


Figure 2: X-ray diffraction pattern of the elaborated thin film on a platinum coated alumina substrate.

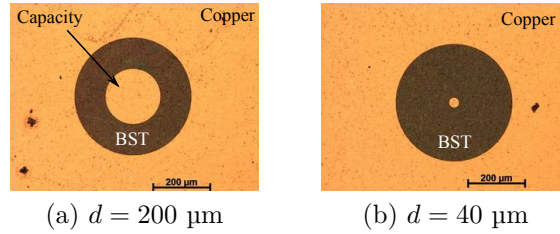


Figure 3: Electrode topology used for the high frequency characterization.

dielectric measurements as a function of temperature, square platinum electrodes of $0.5 \times 0.5 \text{ mm}^2$ surface area were deposited by RF sputtering in order to obtain the Metal Insulator Metal (MIM) topology. Platinum was chosen here because it does not oxidize even at high measuring temperatures. The capacitance and the dielectric losses were measured with an *Agilent 4294A* impedance meter at an AC field of $0.5 \text{ V}/\mu\text{m}$ and the dielectric permittivity was calculated from the measured capacitance. Negative temperatures were obtained by cooling the sample with liquid nitrogen in a home-made cryostat and temperature controlling was done with an *ITC 503 controller*. This permits us to measure the dielectric properties between -150°C and 170°C and as a function of frequency up to 10 MHz.

For the characterizations above 10 MHz, the capacitance and the dielectric losses were measured using an *Agilent E8364B* vector network analyzer with an output power of -17 dBm . In this case, circular top electrodes of different diameter were used (Fig. 3), allowing contacting with a ground-signal-ground (GSG) probe. For these electrodes, copper was deposited by DC sputtering and was patterned with a standard photolithography process. Copper has

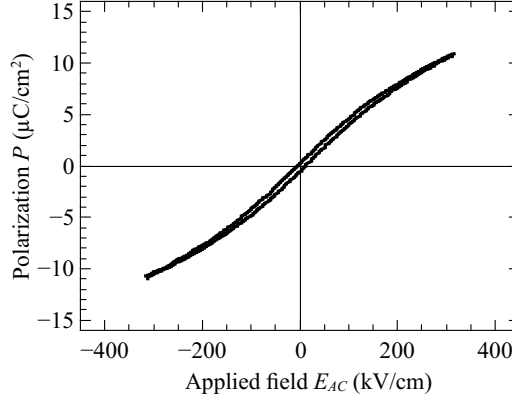


Figure 4: $P - E$ hysteresis loop of the proposed thin film measured at 100 kHz and at room temperature.

a higher conductivity compared to platinum and was preferred in order to obtain a more precise measurement of the material's dielectric losses. The effect of the ground was removed using the technique described in [22].

3. Results and discussion

In order to verify the ferroelectric character of the BST thin film, the $P - E$ loop was measured using a classical Sawyer-Tower circuit. The $P - E$ loop of the material, measured at 50 Hz and at room temperature, is shown in Fig. 4. The material presents a very slim shaped hysteresis loop, which comes from the fact that BST is a soft ferroelectric (easy to polarize/depolarize [23]), with a rather small coercive field (10 kV/cm). Moreover, the loop is very narrow, which reveals small losses at low frequency. Small losses at low frequency are necessary to prevent from breakdown of the film when a DC bias field is applied.

3.1. Temperature stability

The relative permittivity and the dielectric losses as a function of temperature are shown in Fig. 5. Contrary to a single-crystal, there is no abrupt transition and the evolution of the relative permittivity is smooth, which has already been reported in [24]. In our case, the BST has no preferential orientation, the grain size is quite small and the substrate induces stress inside the film which may explain the slow temperature evolution [25]. In the ferroelectric phase, below 60 °C, the relative permittivity is quite stable, at higher temperatures, in the

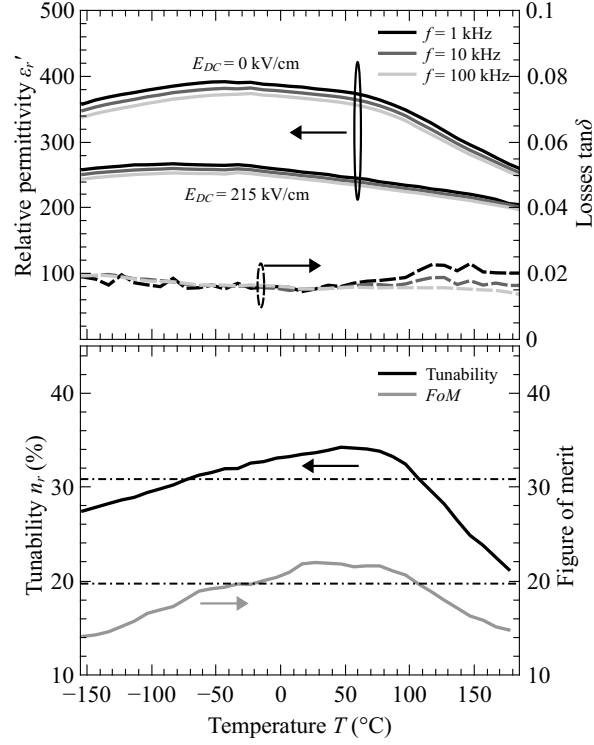


Figure 5: Relative permittivity without and at 215 kV/cm bias field and dielectric losses for different frequencies (top) and tunability and FoM under 215 kV/cm bias field at 100 kHz (bottom) of the thin film as a function of temperature.

paraelectric phase, it significantly drops with a slope of $-0.9\text{ }^{\circ}\text{C}^{-1}$. The relative permittivity varies less than 2% between $-80\text{ }^{\circ}\text{C}$ and $20\text{ }^{\circ}\text{C}$ which shows the good temperature stability of the material. In addition, the dielectric losses vary slowly with temperature. At low temperatures, a slight increase is visible for all frequencies which has already been reported in [6, 9]. The increase at high temperatures, more visible for low frequencies, comes from the diffusion of charge carriers which increases when the temperature increases [26, 27]. In the case of ferroelectrics, the low frequency diffusion is generally attributed to an extrinsic contribution like electronic conduction or oxygen vacancy migration [16, 27, 28]. In the case of acceptor-doped ferroelectrics (manganese in our case), the charge carrier diffusion is generally attributed to oxygen vacancy migration [16, 27]. The charge carrier diffusion is not visible at high frequencies (100 kHz). From $-40\text{ }^{\circ}\text{C}$ to $170\text{ }^{\circ}\text{C}$, the value of $\tan \delta$ at 100 kHz is comprised between 0.016 and 0.015 and the dielectric losses at $-150\text{ }^{\circ}\text{C}$ are 0.02.

The stability of the dielectric properties of the elaborated thin films is compared to

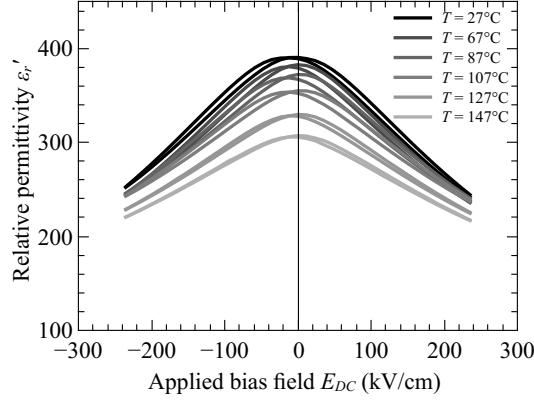


Figure 6: Relative permittivity at 10 kHz as a function of the bias field for different temperatures.

the data from literature in Table 1. The band B^{ε_r} corresponds to the temperature range where the variation of the material's relative permittivity is less than 2%. Our criterion has been preferred to the temperature coefficient of capacitance ($TCC = \Delta C/C\Delta T$) [11] which depends on the temperature and does not directly show the temperature interval where the permittivity is stable. The 2% value has been chosen in accordance to the standard of high value commercial capacitors [29]. The B^{ε_r} band value of our work is slightly larger than reported by Tiggelman *et al* [6] who used a similar substrate and process. The band is also larger than that of the gradient-composition films developed by Cole *et al* [11]. However, the B^{ε_r} value of the material developed by Gevorgian *et al* [12] is higher (190 °C vs 100 °C) proving that the “parallel” approach is very efficient, although complex. The stability of the losses is shown in the Table 1, too. In this case, the $B^{\tan\delta}$ value corresponds to a variation of the losses less than 10%. For all the materials, the losses are quite stable except for the MgO-doped BST with a composition gradient developed by Cole *et al* in [8].

In order to determine the tunability of the BST thin film as a function of temperature, an electric bias field of 215 kV/cm (corresponding to 20 V) has been applied. The evolution of the permittivity under bias shows a similar behavior as function of temperature than without electric field. However, the slope is less pronounced (Fig. 5). Due to the slow variations of the permittivity (with and without bias field), the tunability remains high within the temperature range from -75°C to 100°C . Above this temperature, a rapid decrease of the

Table 1: Comparison of the temperature stability of different thin films. The arrows indicate a composition gradient from the top to the bottom layer. Additional indication of an element signifies a doping gradient. The two vertical bars indicate that the compositions are connected in “parallel”. Listing is by increasing approach complexity.

Composition	Substrate	B^{ε_r}	$B^{\tan \delta}$	B^{FoM}	Reference
80/20	Al_2O_3	$[-60^\circ\text{C}, 35^\circ\text{C}]$	$[-10^\circ\text{C}, 95^\circ\text{C}]$	NC	[6]
80/20	Al_2O_3	$[-80^\circ\text{C}, 20^\circ\text{C}]$	$[-40^\circ\text{C}, 170^\circ\text{C}]$	$[-20^\circ\text{C}, 100^\circ\text{C}]$	This work
60/40 \rightarrow 90/10	Si	$[-10^\circ\text{C}, 20^\circ\text{C}]$	$[0^\circ\text{C}, 20^\circ\text{C}]$	$[10^\circ\text{C}, 20^\circ\text{C}]$	[8]
60/40 \rightarrow 90/10	Si	$[-10^\circ\text{C}, 50^\circ\text{C}]$	$[0^\circ\text{C}, 80^\circ\text{C}]$	$[0^\circ\text{C}, 30^\circ\text{C}]$	[11]
50/50 (1 \rightarrow 5 \rightarrow 1 Mn)	LaAlO_3	$[-55^\circ\text{C}, 10^\circ\text{C}]$	$[75^\circ\text{C}, 130^\circ\text{C}]$	$[-10^\circ\text{C}, 20^\circ\text{C}]$	[9]
75/25 25/75	MgO	$[-160^\circ\text{C}, 30^\circ\text{C}]$	$[-130^\circ\text{C}, 15^\circ\text{C}]$	NC	[12]

tunability can be seen. This corresponds to the gradual transition of the material to the paraelectric phase where a smaller number of ferroelectric cells with high permittivity and tunability is present.

The Figure of Merit has been calculated using equation (1) and is reported in Fig. 5. In the temperature range from -20°C to 100°C , the FoM is higher than 20 at a bias electric field of 215 kV/cm , showing that the stability of the material is good. At higher temperatures, the FoM value decreases with a slope of -0.1°C^{-1} . The Figure of merit stability is represented in Table 1 by the band B^{FoM} which is defined as the temperature range where the FoM is higher than 90% of its maximum value. The thin film studied in our work has the largest band where the FoM stays high. However, due to the lack of data for some materials, it is difficult to compare rigorously.

The evolution of the permittivity as a function of the electric bias field at a frequency of 10 kHz for different temperatures is reported in Fig. 6. As already shown before, the material’s tunability decreases with increasing temperature and the permittivity curves become flatter. In the ferroelectric phase, the characteristic butterfly loop due to polarization

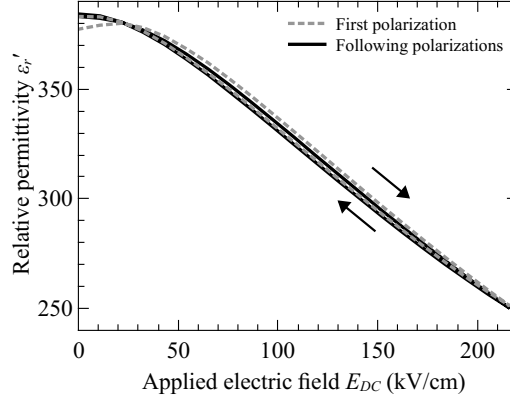


Figure 7: Relative permittivity at 10 kHz and room temperature as a function of the bias field for positive bias field only.

switching is visible, although only weakly pronounced. As the ferroelectric-paraelectric phase transition temperature is approached, the hysteresis effect diminishes and disappears above the Curie temperature.

Mostly, when using a thin film integrated in a device, the bias field will be unipolar. Fig. 7 shows the permittivity as a function of the applied bias field, at room temperature, for positive applied fields only. For the first polarization curve (grey curve), a small hysteresis effect can be seen at low applied fields (below 30 kV/cm), which comes from domain switching in the material. For successive cycles, no difference is present at low fields, but a small hysteresis effect is still visible between 50 kV/cm to 150 kV/cm (black curve). Nevertheless, the difference of permittivity between the up-going and the down-going field is very small and corresponds to less than 1 %. This shows that the hysteresis effect is almost negligible even though the material is in the ferroelectric phase.

In conclusion on the temperature study of the BST thin film, one can note that the material has a large temperature band, corresponding to the ferroelectric phase, where the dielectric properties are rather stable. This stability may be due to the small grain size and the strain from the substrate. As the dielectric losses do not vary significantly and the tunability is quite high in the -75°C to 100°C band, the figure of merit stability of our film is better in comparison to what is reported in literature. Microwave applications hence have better profit from a material in its ferroelectric phase. Even if a hysteresis is present, there

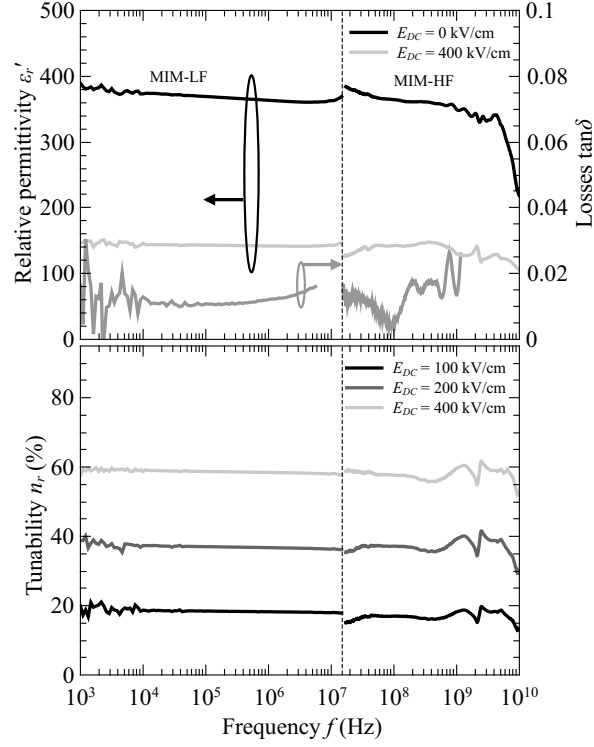


Figure 8: Relative permittivity, dielectric losses and tunability under 400 kV/cm bias field as a function of frequency at room temperature. The increase of losses between 1 MHz and 10 MHz is due to a resonance of the fixture of the impedance analyzer and the capacitor.

is a very small difference in permittivity between the up-going and down-going curves. This results in a well-defined permittivity value for a given bias field. Working in the paraelectric phase in order to completely avoid the hysteresis effect would result in a lower tunability and very temperature-dependent properties.

3.2. Frequency stability

The complex permittivity has been measured as a function of frequency with the two methods described above. The MIM structure used in the low and the high frequency ranges (MIM-LF and MIM-HF) allows us to apply a similar bias electric field and thus to determine the tunability of the material over almost 7 decades (Fig. 8). The relative permittivity without and with applied bias field slightly decreases with frequency, but no fast variation caused by any relaxation or resonance is visible. Consequently, the tunability remains high up to high frequencies. The obtained value under an applied bias field of

400 kV/cm (corresponding to 37 V on the 925 nm thin film) is above 58%. In the GHz band, the relative permittivity is about 350 at zero bias electric field. The measured value of the dielectric losses $\tan \delta$ is quite stable up to 100 MHz and is slightly higher than 0.01. All these dielectric data are similar or better to what has been reported elsewhere [6, 11]. Between 100 MHz and 1 GHz, an increase of the dielectric losses up to 0.02 is observed. This may be due to a rather bad conductivity of the bottom electrode, which results in an overestimation of the material's dielectric losses [30]. Nevertheless, the quality factor ($Q = 1/\tan \delta$) of the capacitor is still 50 at 1 GHz, which is higher than measured by Tiggelman *et al* [6] but lower than reported by Vorobiev *et al* [31]. The capacitor proposed by Vorobiev has a larger quality factor (150 at 1 GHz), which comes from the use of gold for the bottom electrode in addition to platinum. Moreover, the material is in the paraelectric phase, which reduces the capacitor losses. However, the thin film of the present work has a considerably larger tunability for the same bias field (58 % instead of 22 % at 400 kV/cm, extrapolated from the value at 1 MHz for the Vorobiev's material). This results in a *FoM* around 30 for both materials. Finally, the choice of the material shall depend on the constraints imposed by the device (i.e. if it is preferable to achieve small losses but lower tunability or higher tunability with also more important losses).

The dielectric losses determined in the frequency study are lower than those obtained from the temperature measurements because the top electrode consists of copper. This reflects the importance of the electrode material used for the measurement. Thus, particular attention has to be given to the electrode conductivity, not only to avoid an overestimation of the material's dielectric losses, but also for the application.

The permittivity as a function of the electric bias field at high frequencies is reported in Fig. 9. Contrary to the case of the temperature study, there is a small asymmetry in the $\epsilon_r - E_{DC}$ loop. This is due to the different work function of the top and the bottom electrode metals. This asymmetry may be even beneficial since there is almost no hysteresis effect for a positive bias field. This permits us to have a well-defined value of the permittivity for a given bias. Moreover, the material's tunability almost does not vary up to the GHz region.

The *FoM* has been plotted as a function of frequency and is shown in Fig. 10. The

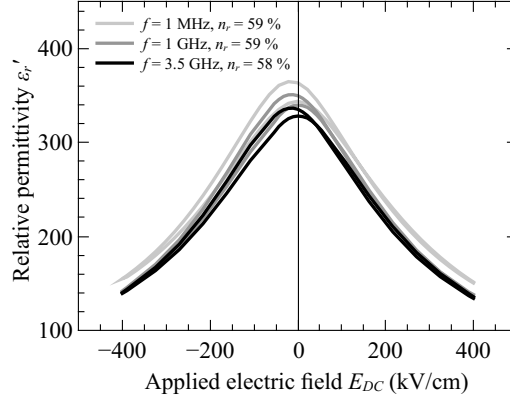


Figure 9: Relative permittivity as a function of the electric bias field for different frequencies at room temperature.

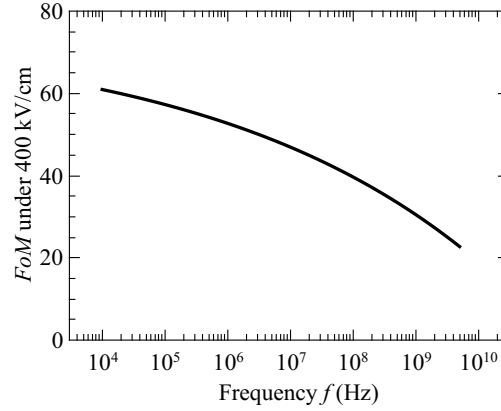


Figure 10: FoM under 400 kV/cm as a function of frequency.

decrease with frequency is mainly due to an increase of the losses at high frequencies. Nevertheless, the obtained value at 1 GHz is still 30.

To conclude on the frequency study, one can note that permittivity and tunability of the BST thin film are almost constant from 1 kHz to 1 GHz. This shows the excellent frequency stability of the dielectric properties of the proposed material. The dielectric losses measured increase from around 0.01 to 0.015 and finally reach approximately 0.02 at 1 GHz. The real material losses should even be smaller since the characterization structure can cause an overestimation of the dielectric losses due to propagation phenomena and the losses in the electrodes [30]. This corresponds to a quality factor of 50 that is higher than measured by Tiggeleman *et al* [6] but lower than reported by Vorobiev *et al* [31].

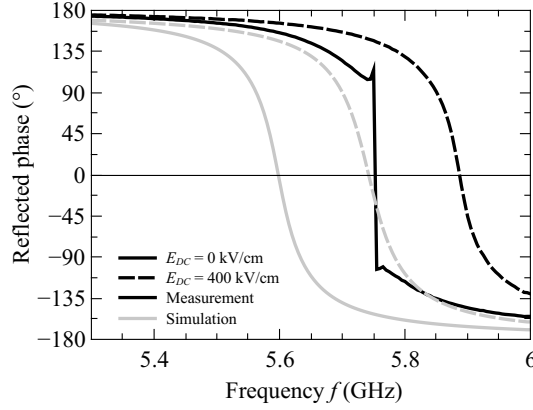


Figure 11: Simulated and measured reflected phase of the realized reflectarray cell.

3.3. Integration of the material

The ferroelectric thin film has been integrated into a reflectarray cell in order to show its performance for microwave device applications. The cell consists of a slot in a ground plane. The slot is loaded by a coplanar ferroelectric capacitor which electrical length controls the reflected phase of an incident wave [1]. It is then possible to dynamically change the electrical length of the slot and thus the reflected phase by tuning the ferroelectric permittivity.

The results from measurement and simulation of the reflectarray cell are shown in Fig. 11. The phase-shift provided by the cell under 400 kV/cm bias electric field is about 245° as predicted by simulations. The offset of the resonant frequency visible in the figure can be attributed to slightly different geometric dimensions between experiment and simulation. The steep slope of the experimental curve in the case of the unbiased state comes from electrode conduction losses which are higher in experiment than supposed for simulation due to a difference in conductivity of the copper metallization ($\sigma_{meas} = 2 \times 10^7 \text{ S m}^{-1}$ instead of $\sigma_{bulk} = 5.8 \times 10^7 \text{ S m}^{-1}$). Nevertheless, the agility of the cell is clearly demonstrated and integration of the tunable material has been successful. Moreover, the maximum phase-shift of the device is significant, which paves the way to active microwave components based on ferroelectric thin films.

4. Conclusion

In this paper, a 1% manganese doped $\text{Ba}_{0.80}\text{Sr}_{0.20}\text{TiO}_3$ thin film, deposited on an alumina substrate, has been characterized over 7 frequency decade and in a temperature range larger than 300 °C.

The proposed BST film has a good stability in temperature. The permittivity varies less than 2% between -80°C and 20°C and the tunability stays close to its maximum value from -25°C to 100°C . As the dielectric losses are rather independent from temperature, the FoM is higher than 90% of its maximum value from -20°C to 100°C .

A good frequency stability has been demonstrated since the permittivity only slightly decreases from 375 at 1 kHz to 350 at 5 GHz and the material's tunability (58% under a bias electric field of 400 kV/cm) is stable for frequencies from 1 kHz to 5 GHz. The dielectric losses are below 0.02 up to 1 GHz. This results in a FoM of about 30 at 1 GHz which is similar to what has been reported in literature. Even though the material is in the ferroelectric phase, the hysteresis effect is not pronounced, which results in a well-defined permittivity when using a unipolar biasing voltage for tuning the ferroelectric permittivity.

The BST thin film has been inserted in a reflectarray cell and a phase-shift range of 245° has been demonstrated for a material's tunability of 58% at 5.8 GHz and room temperature. This shows the potentiality of the ferroelectric material for tunable microwave devices.

Acknowledgement

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